



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
-----------------	-------------	----------------------	---------------------	------------------

10/532,588

07/15/2005

Stuart Charles Wray

038665.56184US

4791

23911 7590 12/01/2008
CROWELL & MORING LLP
INTELLECTUAL PROPERTY GROUP
P.O. BOX 14300
WASHINGTON, DC 20044-4300

EXAMINER

THOMPSON, JR, OTIS L

ART UNIT

PAPER NUMBER

2419

MAIL DATE

DELIVERY MODE

12/01/2008

PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary	Application No. 10/532,588	Applicant(s) WRAY ET AL.	
	Examiner OTIS L. THOMPSON, JR	Art Unit 2419	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 12 August 2008.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-23 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-8, 10-16, 18-21 and 23 is/are rejected.
- 7) ☒ Claim(s) 9, 17 and 22 is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some * c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____ |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

Response to Arguments

1. Applicant's arguments, filed August 12, 2008, with respect to the rejection(s) of claim(s) 1-23 under double patenting, 35 U.S.C. 102(b), and 35 U.S.C. 103(a) have been fully considered and are persuasive. Therefore, the rejections have been withdrawn. However, upon further consideration, a new ground(s) of rejection is made in view of the prior art presented in the detailed action below.

DETAILED ACTION

Claim Rejections - 35 USC § 102

2. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

3. Claims 1-4, 11, 12, 19-21, and 23 are rejected under 35 U.S.C. 102(b) as being anticipated by Odom et al. (Cisco VoIP Call Admission Control).

4. **Regarding claim 1**, Odom et al. discloses *a method of call admission control for a continuous stream of data in packet switched networks including at least two local area networks communication to one another across a connecting network, the method comprising the steps of:*

a. *Transmitting a burst of trial data from a first node in the first local area network through the connecting network to a second node in the second local area network* (Odom, Page 19, SAA Protocol; The SAA protocol sends a probe

Art Unit: 2419

[i.e. burst of trial data; Page 23, SAA Probe Format, see "...each probe consists of multiple packets..."] from the SAA client on the gateway device in the first LAN [Odom, Figure 4] to the server gateway in the other network [Odom, Page 19, SAA Protocol].);

b. *Reflecting the burst of trial data received at the second node back to the first node* (Odom, Page 19, SAA Protocol, see "...returns probe to the sender...");

c. *Receiving the reflected burst of trial data at the first node through the connecting network* (Odom, Page 19, SAA Protocol, see "...returns the probe to the sender...", i.e. receiving the reflected burst is inherent);

d. *Comparing the reflected burst of trial data to the transmitted burst of trial data to determine whether transmission of a continuous stream of data can be initiated from the first node in the first local area network to the second node in the second local area network* (Odom, Page 19, SAA Protocol, Calculating Planned Impairment Value; It is noted that in order to determine packet loss in a ping style test [Odom, Page 18, SAA Probes Versus Pings], the reflected burst of trial data must be analyzed and compared to the data sent to determine if a portion of the burst was lost [i.e. if packet loss occurred]).

5. **Regarding claim 2**, *selecting a path through the connecting network, the path being determined by the connecting network* is inherent in Odom et al. because according to the SAA Protocol on page 19, SAA probes used for CAC go out randomly

Art Unit: 2419

on ports in the top end of the audio UDP-defined port range. The path is thus inherently determined by the connecting network and not predefined.

6. **Regarding claims 3 and 11**, Odom et al. discloses that *the burst of trial data is the same size as the packets to be transmitted in the continuous stream of data* (Page 18, SAA Probes Versus Pings, see "...SAA packets can be built and customized to mimic the type of traffic for which they are measuring the network-in this case a voice packet..."; Page 19, SAA Protocol, see "...SAA probes...go out randomly...; they use a packet size based on the codec the call will use...").

7. **Regarding claims 4 and 12**, Odom et al. discloses that *the burst of trial data is transmitted at the same data rate as the packets to be transmitted* (Page 18, SAA Probes Versus Pings, see "...SAA packets can be built and customized to mimic the type of traffic for which they are measuring the network-in this case a voice packet...", i.e. Transmission of SAA packets at same data rate as the normal traffic is inherent in order to allow for the accurate collection of measurements).

8. **Regarding claim 19**, Odom et al. discloses *comparing the number of packets in the transmitted burst of trial data and the reflected burst of trial data, and calculating an estimate of packet loss rate of the path* (Page 18, The Cisco Service Assurance Agent, see "...capabilities to measure network delay and packet loss are useful...reasonable to assume that the packet delay and loss values that the probe returns..."; Page 19, Calculated Planning Impairment Factor, see "...calculation based on network delay and packet loss figures...").

Art Unit: 2419

9. **Regarding claim 20**, Odom et al. discloses *transmitting multiple bursts of trial data to improve the estimate* (Page 23 under Figure 10, see "...periodic probe...will be sent to that destination to refresh the information...dynamically adjusts the probe traffic...").

10. **Regarding claim 21**, Odom et al. discloses *deciding to transmit packet data based on an acceptable packet loss rate for the transmission of the continuous stream of data* (Page 18, Measurement Based CAC Mechanisms, see "...SAA probes...measure the loss and delay...These values...to use in making a decision on the condition...ability to carry a voice call..."; Page 19, Calculated Planning Impairment Factor, see "...probe delay and loss information is used in calculating an ICPIF value that is then used as a threshold for CAC decisions...").

11. **Regarding claim 23**, Odom et al. discloses that *if the packet loss rate is not acceptable, the transmission of the continuous stream of data is not initiated* (Page 18, Measurement Based CAC Mechanisms, see "...SAA probes...measure the loss and delay...These values...to use in making a decision on the condition...ability to carry a voice call..."; Page 19, Calculated Planning Impairment Factor, see "...probe delay and loss information is used in calculating an ICPIF value that is then used as a threshold for CAC decisions...").

Claim Rejections - 35 USC § 103

12. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

Art Unit: 2419

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

13. Claims 5-8, 10, 13-16, and 18 are rejected under 35 U.S.C. 103(a) as being unpatentable over Odom et al. as applied to claims 3 and 11 above, and further in view of Elek et al. (Admission Control Based on End-to-End Measurements).

14. **Regarding claims 5 and 13**, Odom et al. discloses the claimed invention above but fails to specifically disclose that *the burst of trial data is transmitted at a higher data rate than the packets to be transmitted*. However, Elek et al. discloses an admission control method which uses probes to measure end-to-end delay and packet loss (Pages 624-625, The Admission Control Procedure). In this method, the probe rate is disclosed as being equal to the maximal bit rate that the sender wishes to use for the session (Page 624, Right Column, 1st paragraph under section C). Elek et al. discloses further control of the bit rate by the sender, while also disclosing that in order to raise a peak bit rate for a connection, a new probe must be sent from the sender to the same receiver (Page 625, Left Column, 2nd paragraph, see "...peak bit rate may only be raised by a new probe..."). These teachings suggest that in order to admit a connection between a sender and a receiver at higher bit rate than the current bit rate, a probe must be sent out at that higher bit rate in order to provide accurate measurements of end-to-end delay and packet loss at the higher bit. Those measurements are obviously used in determining whether the actual connection should be established at that higher bit rate.

Therefore, it would have been obvious to one of ordinary skill in the art at the time the applicant's invention was made to incorporate the teachings of Elek et al. into Odom et al. in order to establish a call at a higher bit rate than the current bit rate.

15. **Regarding claims 6 and 14**, Odom et al. in view of Elek et al. discloses *comparing the number of packets in the transmitted burst of trial data and the reflected burst of trial data, and calculating an estimate of packet loss rate* (Odom et al., Page 18, The Cisco Service Assurance Agent, see "...capabilities to measure network delay and packet loss are useful...reasonable to assume that the packet delay and loss values that the probe returns..."; Page 19, Calculated Planning Impairment Factor, see "...calculation based on network delay and packet loss figures...").

16. **Regarding claims 7 and 15**, Odom et al. in view of Elek et al. discloses *transmitting multiple bursts of trial data to improve the estimate* (Odom et al., Page 23 under Figure 10, see "...periodic probe...will be sent to that destination to refresh the information...dynamically adjusts the probe traffic...").

17. **Regarding claims 8 and 16**, Odom et al. in view of Elek et al. discloses *deciding to transmit packet data based on an acceptable packet loss rate for the transmission of the continuous stream of data* (Odom et al., Page 18, Measurement Based CAC Mechanisms, see "...SAA probes...measure the loss and delay...These values...to use in making a decision on the condition...ability to carry a voice call..."; Page 19, Calculated Planning Impairment Factor, see "...probe delay and loss information is used in calculating an ICPIF value that is then used as a threshold for CAC decisions...").

Art Unit: 2419

18. **Regarding claims 10 and 18**, Odom et al. in view of Elek et al. discloses that *if the packet loss rate is not acceptable, the transmission of the continuous stream of data is not initiated* (Odom et al., Page 18, Measurement Based CAC Mechanisms, see “...SAA probes...measure the loss and delay...These values...to use in making a decision on the condition...ability to carry a voice call...”; Page 19, Calculated Planning Impairment Factor, see “...probe delay and loss information is used in calculating an ICPIF value that is then used as a threshold for CAC decisions...”).

Allowable Subject Matter

19. Claims 9, 17, and 22 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to OTIS L. THOMPSON, JR whose telephone number is (571)270-1953. The examiner can normally be reached on Monday to Thursday 7:30 am to 5:00 pm EST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Chirag Shah can be reached on (571)272-3144. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Art Unit: 2419

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Otis L Thompson, Jr./
Examiner, Art Unit 2419

November 21, 2008

/Chirag G Shah/
Supervisory Patent Examiner, Art Unit 2419